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11-04-03

PATENT APPLICATION  
Docket No: 10237.8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

OCT 29 2003

In re application of

Eddie M. Schwab

Technology Center 2600

Serial No.: 09/924,136

) Art Unit

Confirmation No.: 3048

) 2614

Filed: August 7, 2001

)

For: METHOD AND SYSTEM FOR ACCESSING  
AND IMPLEMENTING DECLARATIVE  
APPLICATIONS USED WITHIN DIGITAL  
MULTI-MEDIA BROADCAST

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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence and the documents listed below are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on Oct. 23, 2003.

Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232

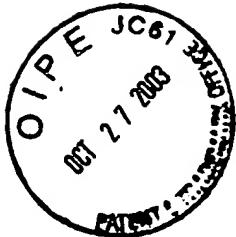
Transmitted: Transmittal for Information Disclosure Statement  
Information Disclosure Statement  
Form PTO-1449 Listing of All References  
Legible Copies of All Listed References  
Postcard

MFK:jrj

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OCT 29 2003

Technology Center 2600



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PATENT APPLICATION  
Docket No: 10237.8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement. Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- Form PTO-1449 list of nine (9) references submitted for consideration.
- Legible copies of the listed references or their relevant portions.
- All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

- Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application \_\_\_\_\_, filed on \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- Promptness Certification.
- Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ constituting submission fee -- see 37 C.F.R. § 1.17(p)
- Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ -- see 37 C.F.R. § 1.17(i)(1).
- In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

DATED this 23 day of October 2003.

Respectfully submitted,

Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

Dated this 23 day of October, 2003.

Respectfully submitted,

Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232

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60 East South Temple  
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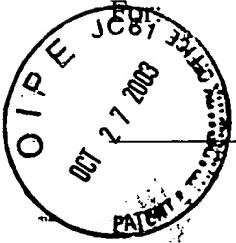
Form PTO-1449

OCT 29 2003

Sheet 1 of 2

Applicant: Eddie M. Schwalb Technology Center 2600 Conf. No. 3048  
Serial No.: 09/924,136 Att'y Docket No. 10237.8  
Filing Date: August 7, 2001 Group: 2614

METHOD AND SYSTEM FOR ACCESSING AND IMPLEMENTING DECLARATIVE APPLICATIONS USED WITHIN DIGITAL MULTI-MEDIA BROADCAST



## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
_____ A1.	5,996,015	11/30/99	Day et al.	709	226	10/31/97
_____ A2.	6,029,175	02/22/00	Chow et al.	707	104	06/07/96
_____ A3.	6,041,400	03/21/00	Ozcelik et al.	712	35	10/26/98
_____ A4.	6,115,080	09/05/00	Reitmeier	348	731	06/05/98
_____ A5.	6,122,639	09/19/00	Babu et al.	707	103	12/23/97
_____ A6.	6,138,139	10/24/00	Beck et al.	709	202	10/29/98
_____ A7.	6,144,990	11/07/00	Brandt et al.	709	203	12/23/96
_____ A8.	6,154,766	11/28/00	Yost et al.	709	201	06/30/99
_____ A9.	6,154,772	11/28/00	Dunn et al.	709	217	11/04/98

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Technology Center 2600

Sheet 2 of 2

Applicant: Eddie M. Schwalb  
 Serial No.: 09/924,136  
 Filing Date: August 7, 2001  
 For: METHOD AND SYSTEM FOR ACCESSING AND IMPLEMENTING  
 DECLARATIVE APPLICATIONS USED WITHIN DIGITAL MULTI-MEDIA  
 BROADCAST



Conf. No. 3048

Att'y Docket No. 10237.8

Group: 2614

**Prior Art Cited by Applicants**

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.